

Multi-Stage Fault Attacks

Applications to the Block Cipher PRINCE

Philipp Jovanovic

Department of Informatics and Mathematics
University of Passau

March 27, 2013

1. Motivation
2. The PRINCE Block Cipher
3. A Multi-Stage Fault Attack on PRINCE

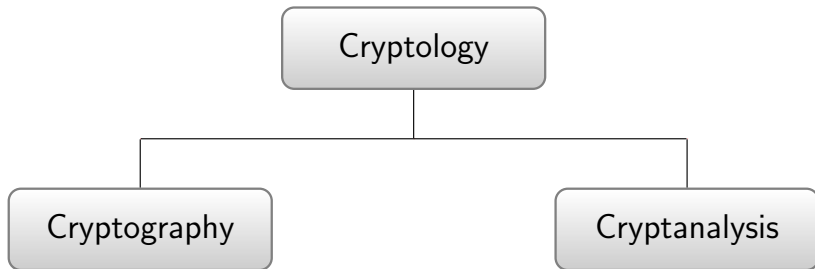


Figure: Overview on the field of cryptology.

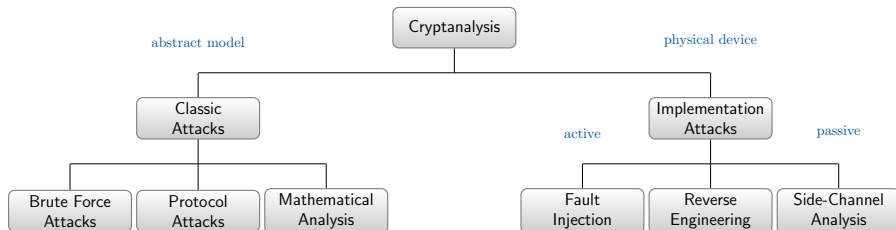


Figure: Overview on the different fields of cryptanalysis.

At a Glance ...

At a Glance ...



At a Glance ...

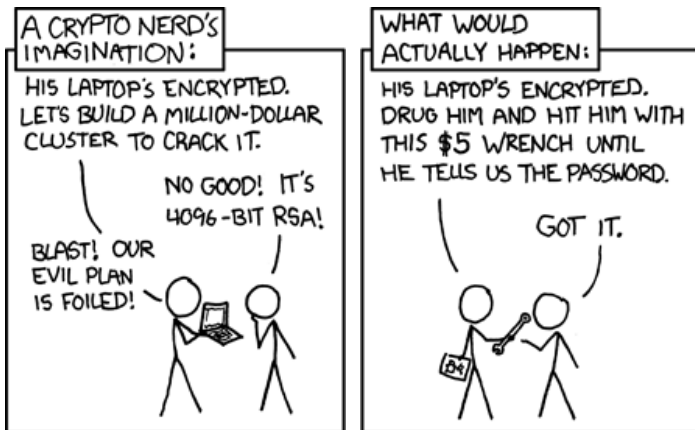


Figure: <http://xkcd.com/538>

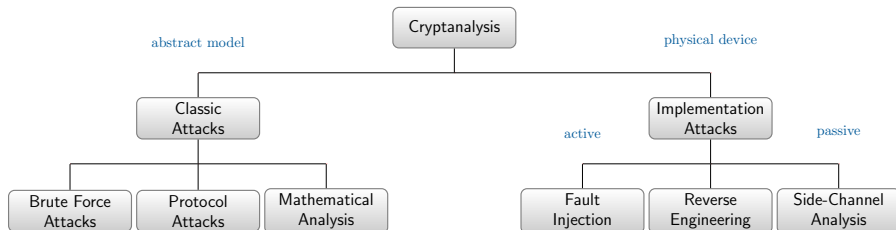


Figure: Overview on the different fields of cryptanalysis.

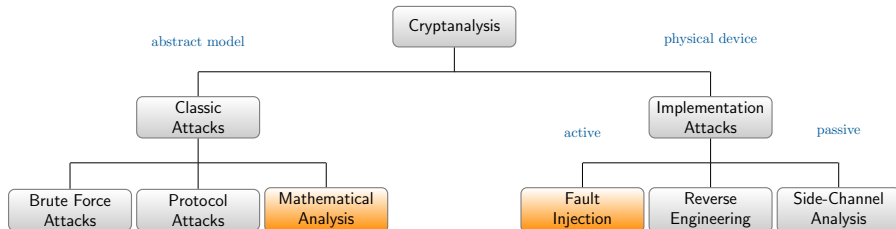


Figure: Overview on the different fields of cryptanalysis.

Characteristics

- ▶ First appearances in 1998* and 2001†.
- ▶ By injecting faults into the electrical circuit, the attacker tries to extract secret informations from the latter (e.g. a key).
- ▶ Lead to powerful new attack techniques and chip manufacturers were forced to rethink their designs.



* E. Biham and A. Shamir, Differential Fault Analysis of Secret Key Cryptosystems, In: Burton S. Kaliski Jr. (ed.) *CRYPTO* 1997, LNCS, vol. **1294**, Springer Heidelberg 1997, pp. 513–525.

† D. Boneh, R.A. Demillo, R.J. Lipton, On the Importance of Eliminating Errors in Cryptographic Computations, *Journal of Cryptology* **14** (2001), 101–119.

Characteristics

- ▶ First appearances in 1998* and 2001†.
- ▶ By injecting faults into the electrical circuit, the attacker tries to extract secret informations from the latter (e.g. a key).
- ▶ Lead to powerful new attack techniques and chip manufacturers were forced to rethink their designs.



* E. Biham and A. Shamir, Differential Fault Analysis of Secret Key Cryptosystems, In: Burton S. Kaliski Jr. (ed.) *CRYPTO* 1997, LNCS, vol. **1294**, Springer Heidelberg 1997, pp. 513–525.

† D. Boneh, R.A. Demillo, R.J. Lipton, On the Importance of Eliminating Errors in Cryptographic Computations, *Journal of Cryptology* **14** (2001), 101–119.

Characteristics

- ▶ First appearances in 1998* and 2001†.
- ▶ By injecting faults into the electronical circuit, the attacker tries to extract secret informations from the latter (e.g. a key).
- ▶ Lead to powerful new attack techniques and chip manufacturers were forced to rethink their designs.



* E. Biham and A. Shamir, Differential Fault Analysis of Secret Key Cryptosystems, In: Burton S. Kaliski Jr. (ed.) *CRYPTO* 1997, LNCS, vol. **1294**, Springer Heidelberg 1997, pp. 513–525.

† D. Boneh, R.A. Demillo, R.J. Lipton, On the Importance of Eliminating Errors in Cryptographic Computations, *Journal of Cryptology* **14** (2001), 101–119.

Techniques to Induce Faults

- ▶ Manipulation of the power-supply voltage to cause miscalculations.
- ▶ Manipulation of the circuit's clock.
- ▶ Parasitic charge-carrier generation by a laser beam.

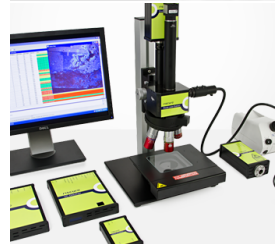
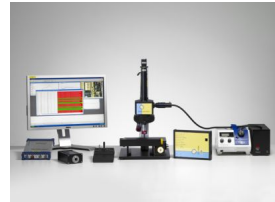
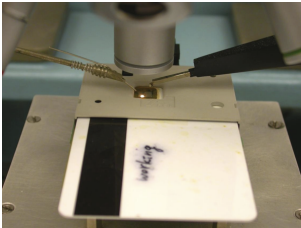


Figure: www.riscure.com

Some Attacks using Fault Injections

- ▶ C. Aumuellner et al. [Fault Attacks on RSA With CRT: Concrete Results and Practical Countermeasures](#), *CHES* 2002.
- ▶ M. Mohamed, S. Bulygin and J. Buchmann, [Improved Differential Fault Analysis of Trivium](#), *COSADE* 2011.
- ▶ M.S. Pedro, M. Soos and S. Guilley, [FIRE: Fault Injection for Reverse Engineering](#), In: C.A. Ardagana and J. Zhou (eds.) *Security and Privacy of Mobile Devices in Wireless Communication* 2011.

1. Motivation

2. The PRINCE Block Cipher

3. A Multi-Stage Fault Attack on PRINCE

Definition

Given a block size of n_1 bits and a key size of n_2 bits a **block cipher** is specified by an **encryption function**

$$E : \{0, 1\}^{n_1} \times \{0, 1\}^{n_2} \rightarrow \{0, 1\}^{n_1}, (m, k) \mapsto c$$

and a **decryption function**

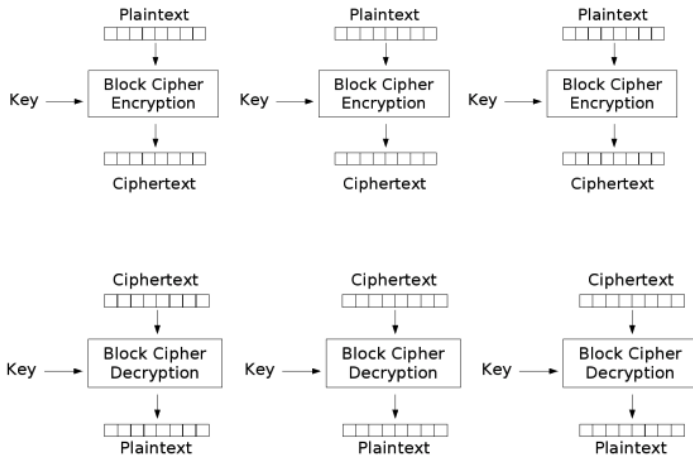
$$D : \{0, 1\}^{n_1} \times \{0, 1\}^{n_2} \rightarrow \{0, 1\}^{n_1}, (c, k) \mapsto m$$

such that

$$D_k(E_k(m)) = m$$

for all plaintext messages $m \in \{0, 1\}^{n_1}$ and all keys $k \in \{0, 1\}^{n_2}$.

Overview on Block Ciphers



General Features

- ▶ Uses a 64-bit state and a 128-bit key.
- ▶ Based on the so-called *FX construction*.
- ▶ Core of the cipher is based on a *Substitution-Permutation Network (SPN)* and has 10 encryption rounds divided by a middle layer.
- ▶ Furthermore the core of PRINCE features the so-called *α -reflection property*. Due to this it holds that:

$$D_{(k_0 \| k'_0 \| k_1)}(\cdot) = E_{(k'_0 \| k_0 \| k_1 \oplus \alpha)}(\cdot)$$

where $\alpha = \text{c0ac29b7c97c50dd}$.

- ▶ This keeps the hardware costs low and produces only small overheads. (Applications: smart cards, sensor networks, "internet-of-things" etc.)

* J. Borghoff et al., PRINCE – A Low-Latency Block Cipher for Pervasive Computing Applications, In: K. Sako and X. Wang (eds.) *ASIACRYPT 2012*, LNCS, vol. **7658**, Springer Heidelberg 2012, pp. 208–225.

The 128-bit key k is split into two parts k_0 and k_1 of 64 bit each,

$$k = k_0 \parallel k_1$$

and extended to 192 bits by the following mapping:

$$(k_0 \parallel k_1) \mapsto (k_0 \parallel k'_0 \parallel k_1) := (k_0 \parallel (k_0 \ggg 1) \oplus (k_0 \gg 63)) \parallel k_1$$

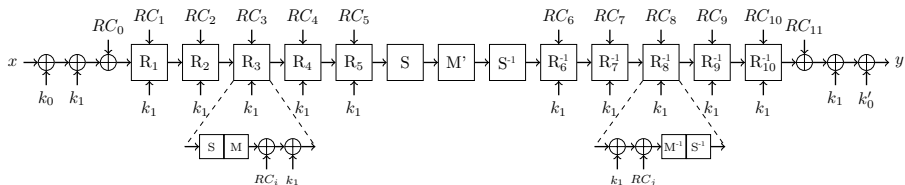
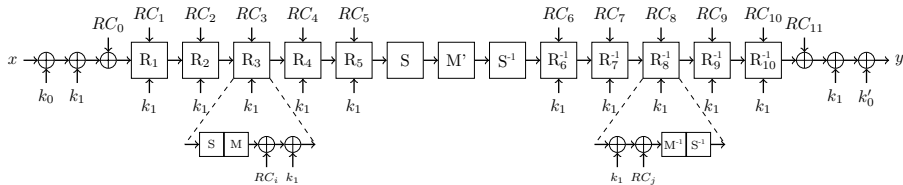
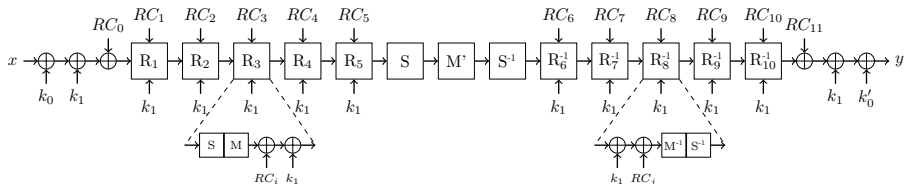


Figure: Layout of PRINCE.



- **k_i -add:** The 64-bit subkey k_i is XORed to the state.
- **S-Layer:** All state nibbles are substituted using the 4-bit SBox below.

x	0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
$S[x]$	B	F	3	2	A	C	9	1	6	7	8	0	E	5	D	4



- ▶ **M / M'-Layer:** The 64-bit state is multiplied with a 64×64 matrix M resp. M' , where $M = SR \circ M'$ and SR shifts row i of the state matrix cyclically to the left by $i - 1$ nibbles.
- ▶ **RC_i -add:** A 64-bit round constant is XORed to the state.

i	RC_i
0 – 2	0000000000000000, 13198a2e03707344, a4093822299f31d0
3 – 5	082efa98ec4e6c89, 452821e638d01377, be5466cf34e90c6c
6 – 8	7ef84f78fd955cb1, 85840851f1ac43aa, c882d32f25323c54
9 – 11	64a51195e0e3610d, d3b5a399ca0c2399, c0ac29b7c97c50dd

1. Motivation

2. The PRINCE Block Cipher

3. A Multi-Stage Fault Attack on PRINCE

Outline

- ▶ Obtain one (or more) pair(s) of correct and faulty ciphertexts c and c' .
- ▶ Use *Differential Fault Analysis* to examine the ciphertext pairs (c, c') and obtain informations about the secret key k .
- ▶ Repeat the above scheme if the attacked block cipher uses multiple independent subkeys, i.e. if $k = k_0 \parallel \dots \parallel k_n$ and the k_i are not “connected” through a key schedule.

Recall: PRINCE uses two independent subkeys.

Outline

- ▶ Obtain one (or more) pair(s) of correct and faulty ciphertexts c and c' .
- ▶ Use *Differential Fault Analysis* to examine the ciphertext pairs (c, c') and obtain informations about the secret key k .
- ▶ Repeat the above scheme if the attacked block cipher uses multiple independent subkeys, i.e. if $k = k_0 \parallel \dots \parallel k_n$ and the k_i are not “connected” through a key schedule.

Recall: PRINCE uses two independent subkeys.

Outline

- ▶ Obtain one (or more) pair(s) of correct and faulty ciphertexts c and c' .
- ▶ Use *Differential Fault Analysis* to examine the ciphertext pairs (c, c') and obtain informations about the secret key k .
- ▶ Repeat the above scheme if the attacked block cipher uses multiple independent subkeys, i.e. if $k = k_0 \parallel \dots \parallel k_n$ and the k_i are not “connected” through a key schedule.

Recall: PRINCE uses two independent subkeys.

Outline

- ▶ Obtain one (or more) pair(s) of correct and faulty ciphertexts c and c' .
- ▶ Use *Differential Fault Analysis* to examine the ciphertext pairs (c, c') and obtain informations about the secret key k .
- ▶ Repeat the above scheme if the attacked block cipher uses multiple independent subkeys, i.e. if $k = k_0 \parallel \dots \parallel k_n$ and the k_i are not “connected” through a key schedule.

Recall: PRINCE uses **two** independent subkeys.

More Questions

- ▶ How good does a fault injection need to be controllable by an attacker such that informations about the secret key can be derived?
- ▶ In other words: Can we use ciphertexts obtained from arbitrary faults or are there any requirements in order to produce “useful” faulty ciphertexts?
- ▶ What exactly is *Differential Fault Analysis* and how does it work in the case of PRINCE?

More Questions

- ▶ How good does a fault injection need to be controllable by an attacker such that informations about the secret key can be derived?
- ▶ In other words: Can we use ciphertexts obtained from arbitrary faults or are there any requirements in order to produce “useful” faulty ciphertexts?
- ▶ What exactly is *Differential Fault Analysis* and how does it work in the case of PRINCE?

More Questions

- ▶ How good does a fault injection need to be controllable by an attacker such that informations about the secret key can be derived?
- ▶ In other words: Can we use ciphertexts obtained from arbitrary faults or are there any requirements in order to produce “useful” faulty ciphertexts?
- ▶ What exactly is *Differential Fault Analysis* and how does it work in the case of PRINCE?

Capabilities of an Attacker

- ▶ **Known Plaintext Attack**: We assume that the attacker is able to generate an arbitrary number of plaintext, (faulty) ciphertext triples (m, c, c') .
- ▶ **Kerckhoffs Principle** or “The enemy knows the system”: The **design** of the cipher is **known** to the adversary. (No security by obscurity)

Fault Models

- ▶ **Temporal Resolution**: Fault injection timing is controllable very precisely, i.e. injection after a specific operation of the cipher.
- ▶ **Spatial Resolution**: Injection effects a single nibble (4-bit value) of the whole state. The affected nibble itself is either **known** (model: RKF) or **unknown** (model: RUF).
- ▶ **Effects**: Injection changes the state nibble to a random and unknown 4-bit value.

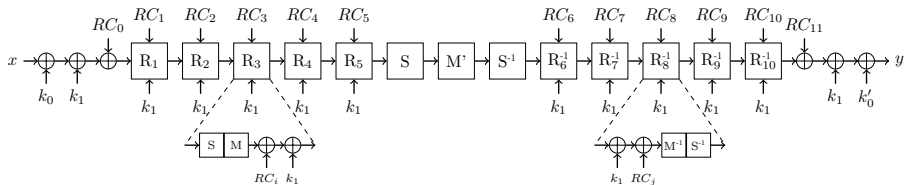
Capabilities of an Attacker

- ▶ **Known Plaintext Attack**: We assume that the attacker is able to generate an arbitrary number of plaintext, (faulty) ciphertext triples (m, c, c') .
- ▶ **Kerckhoffs Principle** or “The enemy knows the system”: The **design** of the cipher is **known** to the adversary. (No security by obscurity)

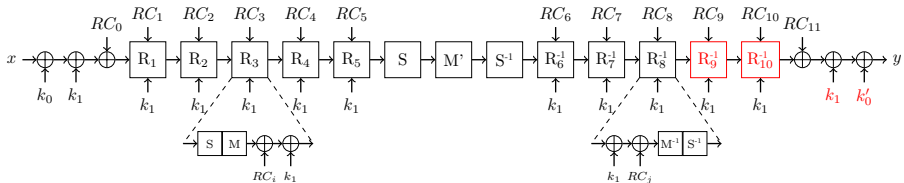
Fault Models

- ▶ **Temporal Resolution**: Fault injection timing is controllable very precisely, i.e. injection after a specific operation of the cipher.
- ▶ **Spatial Resolution**: Injection effects a single nibble (4-bit value) of the whole state. The affected nibble itself is either **known** (model: RKF) or **unknown** (model: RUF).
- ▶ **Effects**: Injection changes the state nibble to a random and unknown 4-bit value.

Where to Inject Faults?

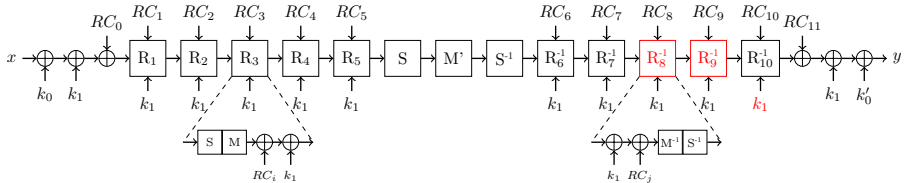


Attack Stage 0



- ▶ Inject fault in R_9^{-1} .
- ▶ Analyse fault propagation and ciphertext pairs.
- ▶ Obtain informations on $k'_0 \oplus k_1$.

Attack Stage 1



- ▶ Inject fault in R_8^{-1} .
- ▶ Analyse fault propagation and ciphertext pairs.
- ▶ Obtain informations on k_1 .

Definition

Let $a = b_0 \parallel b_1 \parallel b_2 \parallel b_3$ be a 4-bit value and let $j \in \{0, \dots, 3\}$. Then we define the map:

$$\varphi : \mathbb{B}^4 \times \{0, \dots, 3\} \longrightarrow \mathbb{B}^4, (a, j) \longmapsto \varphi_j(a)$$

where $\varphi_j(a)$ is equal to a but with the j -th bit b_j set to 0.

Bit Pattern

j	$\varphi_j(a)$			
0	0	b_1	b_2	b_3
1	b_0	0	b_2	b_3
2	b_0	b_1	0	b_3
3	b_0	b_1	b_2	0

Definition

Let $a = b_0 \parallel b_1 \parallel b_2 \parallel b_3$ be a 4-bit value and let $j \in \{0, \dots, 3\}$. Then we define the map:

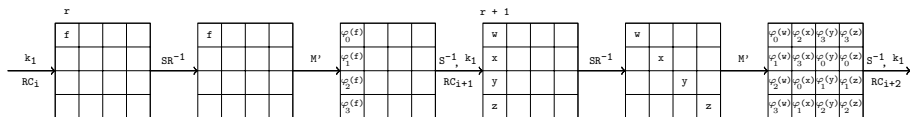
$$\varphi : \mathbb{B}^4 \times \{0, \dots, 3\} \longrightarrow \mathbb{B}^4, (a, j) \longmapsto \varphi_j(a)$$

where $\varphi_j(a)$ is equal to a but with the j -th bit b_j set to 0.

Bit Pattern

j	$\varphi_j(a)$			
0	0	b_1	b_2	b_3
1	b_0	0	b_2	b_3
2	b_0	b_1	0	b_3
3	b_0	b_1	b_2	0

Fault Propagation over 2 Rounds



Fault Equations

Let v_i , v'_i , k_i and q_i be variables. We substitute the nibbles of correct and faulty ciphertexts (intermediate states) for v_i and v'_i , key nibbles for k_i and round constant nibbles for q_i .

$$E_i : SBox(v_i \oplus k_i \oplus q_i) \oplus SBox(v'_i \oplus k_i \oplus q_i) = \begin{cases} \varphi_{ji}(w), & i \in \{0, \dots, 3\} \\ \varphi_{ji}(x), & i \in \{4, \dots, 7\} \\ \varphi_{ji}(y), & i \in \{8, \dots, 11\} \\ \varphi_{ji}(z), & i \in \{12, \dots, 15\} \end{cases}$$

$$(j_i)_{i=0, \dots, 15} = \begin{cases} (0, 1, 2, 3, 2, 3, 0, 1, 3, 0, 1, 2, 3, 0, 1, 2), & i \in \{0, 7, 10, 13\} \\ (3, 0, 1, 2, 1, 2, 3, 0, 2, 3, 0, 1, 2, 3, 0, 1), & i \in \{1, 4, 11, 14\} \\ (2, 3, 0, 1, 0, 1, 2, 3, 1, 2, 3, 0, 1, 2, 3, 0), & i \in \{2, 5, 8, 15\} \\ (1, 2, 3, 0, 3, 0, 1, 2, 0, 1, 2, 3, 0, 1, 2, 3), & i \in \{3, 6, 9, 12\} \end{cases}$$

Definition

For every fault equation E_i we introduce a *key nibble candidate set* S_i with

$$S_i = \{(t, u) \mid t, u \in \mathbb{B}^4\}$$

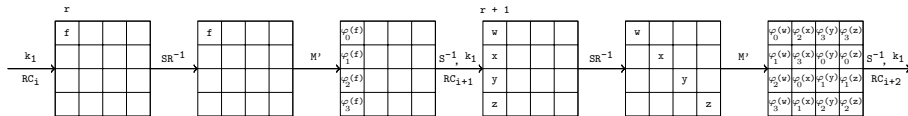
for $i \in \{0, \dots, 15\}$. Furthermore let $S = (S_i)_{i=0, \dots, 15}$.

DFA Algorithm:

Input: (c, c') (intermediate state (v, v') for the 2nd stage)

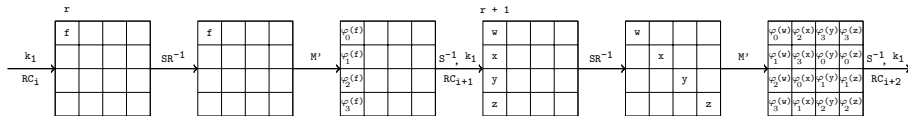
Output: Set S containing candidates for $k'_0 \oplus k_1$ (or k_1 for the 2nd stage)

return `outer_filtering(inner_filtering(evaluation(c, c')))`



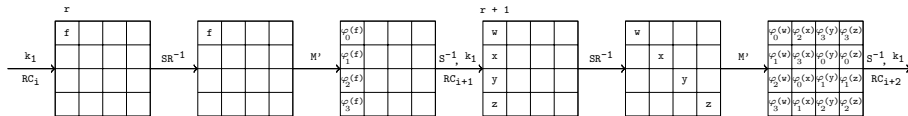
Overview on the Single Steps

- ▶ **evaluation:** Compute $E_i(u) = t$ for all $u \in \mathbb{B}^4$ and save the result (u, t) to the set S_i .
- ▶ **inner_filtering:** Discard all tuples (u, t) from S_i where t doesn't match the pattern φ_{j_i} associated with E_i .
- ▶ **outer_filtering:** Exploit the fact that the elements of the sets $S_{4 \cdot m}, \dots, S_{4 \cdot m+3}$ are derived from a common preimage to discard even more invalid tuples (u, t) .



Overview on the Single Steps

- ▶ **evaluation:** Compute $E_i(u) = t$ for all $u \in \mathbb{B}^4$ and save the result (u, t) to the set S_i .
- ▶ **inner_filtering:** Discard all tuples (u, t) from S_i where t doesn't match the pattern φ_{j_i} associated with E_i .
- ▶ **outer_filtering:** Exploit the fact that the elements of the sets $S_{4 \cdot m}, \dots, S_{4 \cdot m+3}$ are derived from a common preimage to discard even more invalid tuples (u, t) .



Overview on the Single Steps

- ▶ **evaluation:** Compute $E_i(u) = t$ for all $u \in \mathbb{B}^4$ and save the result (u, t) to the set S_i .
- ▶ **inner_filtering:** Discard all tuples (u, t) from S_i where t doesn't match the pattern φ_{j_i} associated with E_i .
- ▶ **outer_filtering:** Exploit the fact that the elements of the sets $S_{4.m}, \dots, S_{4.m+3}$ are derived from a common preimage to discard even more invalid tuples (u, t) .

Example

Assume we have the following setup:

$$k = 01234567 \ 89ABCDEF \ 01234567 \ 89ABCDEF$$
$$m = 01234567 \ 89ABCDEF$$
$$c = 0A72342A \ 02193229$$
$$c' = 21A19DCD \ 25D7433C$$

The faulty ciphertext c' was obtained by injecting the error value $e = 0xC$ into nibble s_0 of the state at the beginning of round R_9^{-1} .

As a reminder we list again the possible index pattern below.

j	$\varphi_j(a)$			
0	0	b_1	b_2	b_3
1	b_0	0	b_2	b_3
2	b_0	b_1	0	b_3
3	b_0	b_1	b_2	0

Table: Distribution of key nibbles after evaluation (1st column) ...

S_i	Σ	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
$\#S_0$	16	2	0	4	0	0	0	2	2	0	0	0	0	4	2	0
$\#S_1$	16	2	0	0	4	0	0	2	0	0	0	2	2	0	2	2
$\#S_2$	16	2	0	0	0	0	0	2	0	4	2	0	0	2	2	2
$\#S_3$	16	0	0	0	0	2	2	0	2	2	2	2	2	0	0	2

Apply the same technique to the other sets S_4, \dots, S_{15} . As a result there remain only $2^{20} = 1.048.576$ from the initial 2^{64} candidates for $k'_0 \oplus k_1$.

As a reminder we list again the possible index pattern below.

j	$\varphi_j(a)$			
0	0	b_1	b_2	b_3
1	b_0	0	b_2	b_3
2	b_0	b_1	0	b_3
3	b_0	b_1	b_2	0

Table: ... after inner_filtering ...

S_i	Σ	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
$\#S_0$	8	2	0	4	0	0	0	2	0	0	0	0	0	0	0	0
$\#S_1$	4	2	0	0	0	0	0	0	0	0	0	2	0	0	0	0
$\#S_2$	8	2	0	0	0	0	0	0	0	4	0	0	0	2	0	0
$\#S_3$	8	0	0	0	0	0	2	0	2	0	2	0	2	0	0	0

Apply the same technique to the other sets S_4, \dots, S_{15} . As a result there remain only $2^{20} = 1.048.576$ from the initial 2^{64} candidates for $k'_0 \oplus k_1$.

As a reminder we list again the possible index pattern below.

j	$\varphi_j(a)$			
0	0	b_1	b_2	b_3
1	b_0	0	b_2	b_3
2	b_0	b_1	0	b_3
3	b_0	b_1	b_2	0

Table: ... and after `outer_filtering`.

S_i	Σ	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
$\#S_0$	4	0	0	4	0	0	0	0	0	0	0	0	0	0	0	0
$\#S_1$	2	0	0	0	0	0	0	0	0	0	0	2	0	0	0	0
$\#S_2$	4	0	0	0	0	0	0	0	0	4	0	0	0	0	0	0
$\#S_3$	2	0	0	0	0	0	0	0	0	0	2	0	0	0	0	0

Apply the same technique to the other sets S_4, \dots, S_{15} . As a result there remain only $2^{20} = 1.048.576$ from the initial 2^{64} candidates for $k'_0 \oplus k_1$.

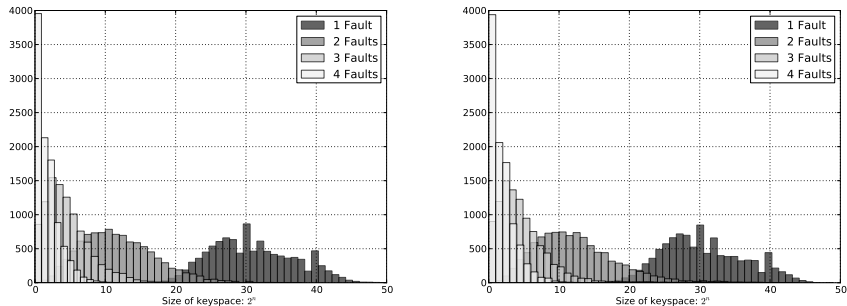


Figure: Experimental results for stage 0 (left) and stage 1 (right). The data was obtained through 10.000 runs of the attack using fault model RUF.

Table: Statistics for $k'_0 \oplus k_1$ and k_1 candidates after stage 0 and 1.

	stage 0				stage 1			
# keys / # faults	1	2	3	4	1	2	3	4
min	$2^{17.00}$	1	1	1	$2^{16.00}$	1	1	1
max	$2^{50.00}$	$2^{38.00}$	$2^{24.00}$	$2^{12.00}$	$2^{49.00}$	$2^{44.00}$	$2^{40.00}$	$2^{43.00}$
avg	$2^{30.89}$	$2^{11.44}$	$2^{4.12}$	$2^{1.47}$	$2^{30.41}$	$2^{11.64}$	$2^{4.44}$	$2^{1.82}$
median	$2^{34.50}$	$2^{19.50}$	$2^{12.50}$	$2^{7.00}$	$2^{33.50}$	$2^{21.50}$	$2^{21.00}$	$2^{21.00}$

Summary: In order to reconstruct the complete 128-bit key $k_0 \parallel k_1$ it is sufficient to inject approximately 3 – 4 faults.

Q: Can we apply Multi-Stage Fault Attacks to other ciphers?

A: Yes, indeed we can!

- ▶ We constructed an algorithm that can be used to analyse (SPN) block ciphers having independent subkeys using Multi-Stage Fault Attacks.
- ▶ Showed applications to PRINCE (this talk) and LED-128.
- ▶ To appear soon. (hopefully :-)

Q: Can we apply Multi-Stage Fault Attacks to other ciphers?

A: Yes, indeed we can!

- ▶ We constructed an algorithm that can be used to analyse (SPN) block ciphers having independent subkeys using Multi-Stage Fault Attacks.
- ▶ Showed applications to PRINCE (this talk) and LED-128.
- ▶ To appear soon. (hopefully :-)

Q: Can we apply Multi-Stage Fault Attacks to other ciphers?

A: Yes, indeed we can!

- ▶ We constructed an algorithm that can be used to analyse (SPN) block ciphers having independent subkeys using Multi-Stage Fault Attacks.
- ▶ Showed applications to PRINCE (this talk) and LED-128.
- ▶ To appear soon. (hopefully :-)

Q: Can we apply Multi-Stage Fault Attacks to other ciphers?

A: Yes, indeed we can!

- ▶ We constructed an algorithm that can be used to analyse (SPN) block ciphers having independent subkeys using Multi-Stage Fault Attacks.
- ▶ Showed applications to PRINCE (this talk) and LED-128.
- ▶ To appear soon. (hopefully :-)

Q: Can we apply Multi-Stage Fault Attacks to other ciphers?

A: Yes, indeed we can!

- ▶ We constructed an algorithm that can be used to analyse (SPN) block ciphers having independent subkeys using Multi-Stage Fault Attacks.
- ▶ Showed applications to PRINCE (this talk) and LED-128.
- ▶ To appear soon. (hopefully :-)

Thank you for your attention!